

## Information Disclosure Statement Transmittal

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on the below date of deposit.

Date of Deposit: 03/28/02 Name of Person Making the Deposit: Julie Williams Signature of the Person Making the Deposit: Julie Williams

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Investor(s): Fan Zhong and Michael Lennon

Serial No.: 09/917,438

Group Art Unit:

Filed: 07/27/01

Examiner:

Title: A GEBPSG TOP CLAD FOR A PLANAR LIGHTWAVE CIRCUIT

The Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

## Information Disclosure Statement Transmittal

Transmitted herewith is the following:

- ..... Formal drawings, totaling ..... sheets.  
..... Informal drawings, totaling ..... sheets.  
..... Certification for PTO Consideration  
☒ Information Disclosure statement (\_\_\_ sheets)  
..... Information Disclosure statement and late filing fee  
☒ Form 1449  
..... Petition for Extension of Time  
..... Other: .....

## Fee Calculation (for other than a small entity)

Fee Items	Fee Rate	Total
Petition for Extension of Time (fee calculated elsewhere)	\$ .00	
Information Disclosure Statement, late filing	\$0.00	
Other:		
<b>Total Fees</b>		\$0.00

## PAYMENT OF FEES

1. The full fee due in connection with this communication is provided as follows:
- [X] The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085.  
A duplicate copy of this authorization is enclosed.
- [ ] A check in the amount of \$
- [ ] Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060

Respectfully submitted,

Date: 3/28/02

By: 

Glenn D. Barnes  
Reg. No. 42,293



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: LWM-A102

Inventor(s): Fan Zhong and Michael Lennon

Serial No.: 09/917,438

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Sir:

Information Disclosure Statement Submitted Pursuant to 37 C.F.R. 1.97(b)

The citations referenced herein, copies attached, may be material to the examination of the above-identified application and are, therefore, submitted in compliance with the duty of disclosure as defined in 37 C.F.R. 1.56. The Examiner is requested to make these citations of official record in the application.

This Information Disclosure Statement submitted in accordance with 37 C.F.R. 1.97(b) is not to be construed as a representation that a search has been made, that additional items material to the examination of this application do not exist, or that any one or more of these citations constitute prior art under 35 U.S.C. 102.

The Examiner's attention is respectfully directed to the following U.S. Patents:

<u>Pat. No.</u>	<u>Pat. Title</u>	<u>Grant Date</u>
5,678,935	SEMICONDUCTOR OPTICAL WAVEGUIDE AND METHOD OF FABRICATING THE SAME	10/21/97
5,982,973	ERBIUM-DOPED PLANAR WAVEGUIDE	11/09/99
6,208,792	ARTICLE COMPRISING A PLANAR OPTICAL WAVEGUIDE WITH OPTICALLY NON-LINEAR CORE	03/27/01
6,201,918	ATHERMALIZED CODOPED OPTICAL WAVEGUIDE DEVICE	03/13/01
6,122,429	RARE EARTH DOPED BARIUM TITANATE THIN FILM OPTICAL WORKING MEDIUM FOR OPTICAL DEVICES	09/19/00
5,408,569	OPTICAL CHANNEL WAVEGUIDE HAVING A HIGH GERMANIA CLADDING CONTENT	04/18/95
6,317,444	OPTICAL DEVICE INCLUDING CARBON-DOPED CONTACT LAYERS	11/13/01
5,930,435	OPTICAL FILTER DEVICE	07/27/99
5,728,605	METHOD FOR PRODUCING AN OPTICAL SEMICONDUCTOR DEVICE HAVING A CARRIER INJECTION PATH OR AN ELECTRIC-FIELD APPLYING PATH	03/17/98
5,663,556	OPTOELECTRONIC FERROELECTRIC SENSOR AND SIGNAL GENERATING DEVICE	09/02/97
5,280,535	SEMICONDUCTOR LASER DIODE DEPOSITED ON A STRUCTURED SUBSTRATE SURFACE	01/18/94
4,111,525	SILICA BASED OPTICAL FIBER WAVEGUIDE USING PHOSPHORUS PENTOXIDE AND GERMANIUM DIOXIDE	09/05/78
5,757,540	LONG-PERIOD FIBER GRATING DEVICES PACKAGED FOR TEMPERATURE STABILITY	05/26/98
6,108,474	OPTICAL PULSE COMPRESSOR FOR OPTICAL COMMUNICATIONS SYSTEMS	08/22/00

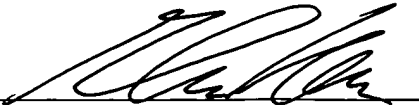
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